



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PHILLIPS *et al.*

Appl. No.: 10/017,435

Filed: December 14, 2001

For: **Voice Application Development
Methodology**

Confirmation No.: 6756

Art Unit: 2626

Examiner: Wozniak, James S.

Atty. Docket: 2222.0820003

Second Supplemental Information Disclosure Statement

Mail Stop Amendment

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Listed on accompanying IDS Forms are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent

application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

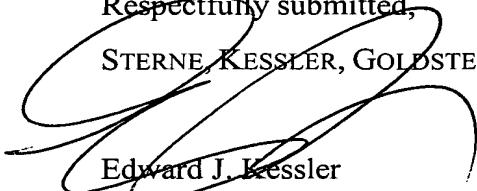
A copy of document NPL1 is submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), no copies of U.S. patents and patent application publications cited on the attached IDS Forms are submitted.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

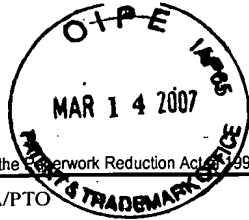


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Atty. Dkt. No. 2222.0820003



Substitute for form 1449A/PTO SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/017,435
				Filing Date	December 14, 2001
				First Named Inventor	Mark PHILLIPS
				Art Unit	2626
				Examiner Name	Wozniak, James S.
Sheet	1	of	2	Attorney Docket Number	2222.0820003

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if Known)			
	US1	2001/0013001 A1	08/2001	Brown et al.	
	US2	4,731,811	03/1998	Dubus	
	US3	5,651,056	07/1997	Eting et al.	
	US4	5,721,827	02/1998	Logan et al.	
	US5	5,899,975	05/1999	Nielsen	
	US6	5,918,213	06/1999	Bernard et al.	
	US7	5,930,752	07/1999	Kawaguchi et al.	
	US8	5,943,648	08/1999	Tel	
	US9	6,049,604	04/2000	Lin	
	US10	6,055,513	04/2000	Katz et al.	
	US11	6,055,566	04/2000	Kikinis	
	US12	6,144,938	11/2000	Surace et al.	
	US13	6,233,559	05/2001	Balakrishnan	
	US14	6,327,568	12/2001	Joost	
	US15	6,349,290	02/2002	Horowitz et al.	
	US16	6,385,584	05/2002	McAllister et al.	
	US17	6,400,806	06/2002	Uppaluru	
	US18	6,408,272	06/2002	White et al.	
	US19	6,418,440	07/2002	Kuo et al.	
	US20	6,539,359	03/2003	Ladd et al.	

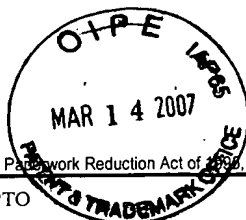
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	FP1					
	FP2					
	FP3					
	FP4					
	FP5					
	FP6					
	FP7					
	FP8					
	FP9					

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
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				Filing Date	December 14, 2001
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				Art Unit	2626
				Examiner Name	Wozniak, James S.
Sheet	2	of	2	Attorney Docket Number	2222.0820003

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		Number-Kind Code ² (If Known)			
	US21	6,718,015	04/2004	Berstis	
	US22	6,823,225	11/2004	Sass	
	US23				
	US24				
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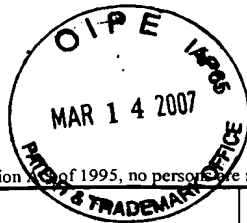
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	FP10					
	FP11					
	FP12					
	FP13					
	FP14					
	FP15					
	FP16					
	FP17					
	FP18					

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				<i>Application Number</i>	10/017,435
				<i>Filing Date</i>	December 14, 2001
				<i>First Named Inventor</i>	Mark PHILLIPS
				<i>Art Unit</i>	2626
				<i>Examiner Name</i>	Wozniak, James S.
Sheet	1	of	1	<i>Attorney Docket Number</i>	2222.0820003

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	NPL1	Asthana, A. and Krzyzanowski, P., "A Small Domain Communications System for Personalized Shopping Assistance," <i>Personal Wireless Communications, IEEE International Conference</i> , pp. 199-203, August 1994.	
	NPL2		
	NPL3		
	NPL4		
	NPL5		
	NPL6		
	NPL7		
	NPL8		
	NPL9		
	NPL10		

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